

Atif Siddiqi, CPA, CISA, CISM, CITP

Summary: Experience in providing audit, compliance, risk management, internal control, and technology services. Extensive experience designing internal controls to manage risks related to regulatory, organizational, industry, and technology factors. Specific knowledge in the areas of attestation control audits and reviews, compliance and regulatory requirements and reviews, Sarbanes-Oxley Act, SAS 70s, and assurance support for third parties. Extensive presentations and training on regulatory, compliance, board ethics, and control issues.

Considered independent and a financial expert as defined by the Sarbanes-Oxley Act of 2002.

Experience: McGladrey and Pullen, Partner

Practice leader for the Denver, CO and Chicago, IL Risk Management Services practices with audit, practice development, and quality assurance responsibilities. SAS 70 practice leader for all SAS 70s in the United States.

- Supervision of internal control specialists' involvement in all Sarbanes-Oxley audits for SEC clients in the United States and liaison with the Public Company Accounting Oversight Board. Board ethics training and compliance control reviews.
- Conducted internal audits of financial services institutions using different control criteria and standards.
- Methodology and training development for internal control process controls reviews, Sarbanes-Oxley Act for audit and consulting engagements.

KPMG LLP, Partner

Conduct business process, financial, and systems audits and reviews, risk assessments, external, and internal audits. Operational processes reviews including Sarbanes-Oxley and other regulatory reviews. SAS 70 partner for financial services clients. General instructor and has taught several advisory new hire, experienced hire, and methodology courses.

Sarbanes-Oxley Act reviews, in particular Section 404 internal controls compliance covering planning, scope definition, process documentation, control identification, gap mitigation, and testing plans. Have given several industry lectures on SOX 404. Sarbanes-Oxley Methodology Instructor and has taught several Sarbanes-Oxley courses in the United States and Europe.

Professional Certifications:

- BA (Hons.) Accountancy, Oxford University, 1993
- Certified Public Accountant (CPA), 2006
- Chartered Accountant (CA) - Inactive, 1995
- Certified Information Systems Auditor (CISA), 2000
- Certified Information Security Manager (CISM), 2003
- Certified Information Technology Professional (CITP), 2006

Professional Memberships:

- Member of the Institute of Chartered Accountants of England and Wales, 1995
- Member of the American Institute of Certified Public Accountants (AICPA), 2006
- Member of the Colorado Society of Certified Public Accountants (COCPA), 2006
- Member of the Information Systems Audit and Control Association (ISACA), 1998
- Member of the Institute of Internal Auditors (IIA), 2004
- NASD Series 6 Securities Certified, 1995

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Professional Speeches and Presentations:

- Institute of Management Accountants – OTS and SOX Regulations, April 2004
- Rocky Mountain Treasury Conference - Sarbanes-Oxley Act and IT, July 2004
- Financial Executives Institute – PCAOB Standard No. 2, March 2005
- Farm Credit System – Impact of SOX on Non-public Companies, August, 2005
- IIA Conference – SAS 70s and Financial Institutions, March 2006
- Financial Executives Institute – Impact of PCAOB Standard No. 5, August 2006
- Institute of Management Accountants – COSO and SOX for Small Businesses, June 2007
- ISACA Conference – Sarbanes Oxley, SAS 70s and IT, November 2007

Board Memberships:

- USA Pentathlon, Inc. – Independent Board Member
- International Cricket Federation – United States Delegation
- Colorado Cricket League, Past President

Military: Formerly Royal Air Force (UK), 617 Squadron